

11-28-00

11/27/00
JC846 U.S. PTO
09/22/00

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. SP00-033
First Named Inventor or Application Identifier J.W. Botelho et al.
Title SECONDARY COATING COMPOSITION FOR OPTICAL FIBERS
Express Mail Label No. EL689104544US

PTO
JC846 U.S. PTO
09/22/00
11/27/00

Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)

ADDRESS TO: Assistant Commissioner for Patents.
Box Patent Application
Washington, DC 20231

1. ☒ * Fee Transmittal Form
(Submit an original and a duplicate for fee processing) [Total Pages 53]
2. ☒ Specification
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets 2] [Total Pages 2]
4. Oath or Declaration
- a. ☒ Newly executed (original)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 08/
Prior application information: Examiner: TBA Group / Art Unit: TBA

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 22928 or ☐ Correspondence address below

NAME	Timothy R. Krogh			ZIP CODE	14831
ADDRESS	Corning Incorporated, SP-TI-3-1			FAX	(607) 974-3848
CITY	Corning	STATE	NY	TELEPHONE	2743
COUNTRY	USA			Registration No. (Attorney/Agent)	40,688
Name (Print/Type)	Timothy R. Krogh			Date	11/26/00
Signature	<i>T.R. Krogh</i>				

FEE TRANSMITTAL for FY 2000

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Botelho, John
Examiner Name	TBA
Group / Art Unit	TBA
Attorney Docket Number	SP00-033

TOTAL AMOUNT OF PAYMENT (\$1,698.00)

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 03-3325

Deposit Account Name: Corning Incorporated

- ☒ Charge Any Additional Fees Required Under 37 C.F.R. §§ 1.16 and 1.17

2. ☐ Payment Enclosed:
☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	101	710	Utility filing fee	\$710.00
	106	320	Design filing fee	
	107	490	Plant filing fee	
	108	710	Reissue filing fee	
	114	150	Provisional filing fee	
SUBTOTAL (1)				(\$710.00)

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	66 - 20** = 46	x \$18.00 =	\$828.00
Independent Claims	5 - 3** = 2	x \$80.00 =	\$160.00
Multiple Dependent		=	

**or number previously paid, if greater; For Reissues, see below

Large Entity	Fee Code	Fee (\$)	Fee Description
	103	18	Claims in excess of 20
	102	80	Independent claims in excess of 3
	104	270	Multiple dependent claim, if not paid
	109	80	** Reissue independent claims over original patent
	110	18	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$988.00)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	105	130	Surcharge - late filing fee or oath	
	127	50	Surcharge - late provisional filing fee or cover sheet	
	139	130	Non-English specification	
	147	2,520	For filing a request for reexamination	
	112	920*	Requesting publication of SIR prior to Examiner action	
	113	1,840	Requesting publication of SIR after Examiner action	
	115	110	Extension for reply within first month	
	116	390	Extension for reply within second month	
	117	890	Extension for reply within third month	
	118	1,390	Extension for reply within fourth month	
	128	1,890	Extension for reply within fifth month	
	119	310	Notice of Appeal	
	120	310	Filing a brief in support of an appeal	
	121	270	Request for oral hearing	
	138	1,510	Petition to institute a public use proceeding	
	140	110	Petition to revive - unavoidable	
	141	1,240	Petition to revive - unintentional	
	142	1,240	Utility issue fee (or reissue)	
	143	440	Design issue fee	
	144	600	Plant issue fee	
	122	130	Petitions to the Commissioner	
	123	50	Petitions related to provisional applications	
	126	240	Submission of Information Disclosure Stmt	
	581	40	Recording each patent assignment per property (times number of properties) _____ x	
	146	710	Filing a submission after final rejection (37 C.F.R. § 1.129(a))	
	149	710	For each additional invention to be examined (37 C.F.R § 1.129(b))	

Other fee (specify) _____

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$0.00)**

SUBMITTED BY

Completed (if applicable)

Name (Print/Type)	Timothy R. Krogh	Registration No. (Attorney/Agent)	40,688
Signature	<i>TR Krogh</i>	Date	11/26/00

PATENT

Case Name/No.: SP00-033

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Botelho et al.

Serial No.:

Filed:

For: SECONDARY COATING
COMPOSITION FOR OPTICAL
FIBERS

**COMBINED
CERTIFICATE UNDER
37 C.F.R. § 3.73(b)
and
POWER OF ATTORNEY**

Assistant Commissioner for Patents
Washington, DC 20231

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

CORNING INCORPORATED, a New York corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventor(s) of the patent application identified above. A true copy of the unrecorded Assignment is attached hereto.

The undersigned has reviewed the above referenced assignment of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

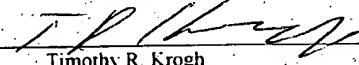
The undersigned is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10:

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is Addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231

Signature


Timothy R. Krogh

"EXPRESS MAIL" Mailing Label No. EL689104544US

